

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BOX PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231



Atty. Dkt. No.: UTSK:343US/TMB

APR 30 2002

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EL780049446US

EXPRESS MAIL MAILING LABEL

NUMBER EL780049446US

DATE OF DEPOSIT January 11, 2002

**REQUEST FOR FILING A CONTINUATION OF AN INTERNATIONAL
APPLICATION UNDER 37 C.F.R. § 1.53(b)**

This is a request for filing a continuation under 37 C.F.R. §1.53(b) of pending prior international application number PCT/US00/18135 filed on June 30, 2000, entitled "METHODS AND COMPOSITIONS FOR DELIVERY AND RETENTION OF ACTIVE AGENTS TO LYMPH NODES," which designated the United States.

- ☒ 1. Enclosed is a copy of prior application PCT/US00/18135 as originally filed, including specification and claims. The undersigned hereby verifies that the attached papers are a true copy of the prior application as originally filed and identified above, and further that this statement was made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statement may jeopardize the validity of the application or any patent issuing thereon.

- (a) ☒ The inventorship is the same as prior application PCT/US00/18135.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Beth A. Goins
William T. Phillips

Serial No.: 10/044,650

Filed: January 11, 2002

For: METHODS AND COMPOSITIONS FOR
DELIVERY AND RETENTION OF
ACTIVE AGENTS TO LYMPH NODES



Group Art Unit: 1645

Examiner: Unknown

Atty. Dkt. No.: UTSK:343US/TMB

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April 4, 2002

Date

Thomas M. Boyce

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL
APPLICATION FILED UNDER 37 C.F.R. 1.53(b)**

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application Under 37 C.F.R. § 1.53(b), dated March 27, 2002, there are enclosed herewith:

- (a) Two Declarations executed on behalf of Beth A. Goins and William T. Phillips;
- (b) A Power of Attorney on behalf of Board of Regents, The University of Texas System;

**COPY OF PAPERS
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- (c) Our check in the amount of \$107.00 to cover the surcharge for late filing (\$65.00) and additional independent claims fee, mistakenly omitted from previously paid fees submitted on January 11, 2002, (\$42.00); and
- (d) A copy of Notice to File Missing Parts of Nonprovisional Application Filed Under 37 C.F.R. 1.53(b)-Filing Date Granted;

An Assignment to Board of Regents, The University of Texas System and a check for \$40.00 are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10200210/TMB.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,



Thomas M. Boyce
Reg. No. 43,508
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701
(512) 474-5201

Date: April 4, 2002



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/044,650	01/14/2002		UTSK:343US/TMB

CONFIRMATION NO. 9390

FORMALITIES LETTER



OC000000007726096

Thomas M. Boyce, Esq.
FULBRIGHT & JAWORSKI L.L.P.
Suite 2400
600 Congress Avenue
Austin, TX 78701



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Date Mailed: 03/27/2002

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NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Y. G.
Customer Service Center
Initial Patent Examination Division (703) 308-1202


PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/17/2002 SSESHE1 00000121 10044650
42.00 DP
65.00 DP
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02 FC:205

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April 4, 2002 Date	 Thomas M. Boyce

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Beth A. Goins
William T. Phillips

Serial No.: 10/044,650

Filed: January 11, 2002

For: METHODS AND COMPOSITIONS FOR
DELIVERY AND RETENTION OF
ACTIVE AGENTS TO LYMPH NODES



Group Art Unit: 1645

Examiner: Unknown

Atty. Dkt. No.: UTSK:343US/TMB

PETITION FOR CORRECTED FILING DATE UNDER 37 C.F.R. § 1.10(c)

Commissioner for Patents
Washington, D.C. 20231

Sir:

A corrected filing date is hereby requested under 37 C.F.R. § 1.10(c) in view of an incorrect filing date apparently recorded by the Patent and Trademark Office.

This application was filed on January 11, 2002 by deposit as "Express Mail" with the main post office in Austin, Texas. This is evidenced by the fact that the "date-in" entered by the United States Post Office on the "Express Mail" mailing label (attached as Exhibit A) is January 11, 2002. The above-captioned application was enclosed in an "Express Mail" envelope with an

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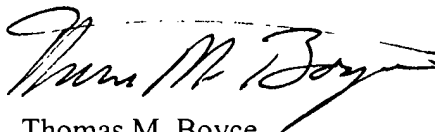
"Express Mail Post Office to Addressee" mailing label bearing the number EL 780049446US.

This Express Mail Number was placed on the front page of the Request for Filing a Continuation of an International Application which is dated January 11, 2002 (attached as Exhibit B), further evidencing that this application was filed on January 11, 2002.

In light of the above statements and attached Exhibits, Applicants respectfully request that the filing date of the application be corrected to January 11, 2002. No fees are believed to be due in connection with this petition. However, should any fee under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this document, the Commissioner is hereby authorized to deduct said fee from Fulbright & Jaworski, L.L.P. Deposit Account No. 50-1212/10200210/TMB.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,



Thomas M. Boyce
Reg. No. 43,508
Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P.
600 Congress Avenue, Suite 2400
Austin, Texas 78701
(512) 474-5201

Date: April 4, 2002

3600 1615

FULBRIGHT & JAWORSKI L.L.P.

A REGISTERED LIMITED LIABILITY PARTNERSHIP

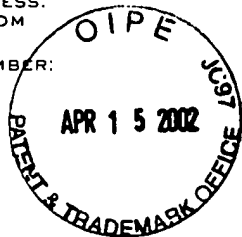
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AUSTIN, TEXAS 78701

TELEPHONE: 512/474-5201
FACSIMILE: 512/536-4598

WRITER'S INTERNET ADDRESS:
TBOYCE@FULBRIGHT.COM

WRITER'S DIRECT DIAL NUMBER:
512/536-3043



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April 4, 2002
Date


Thomas M. Boyce

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Commissioner for Patents
Washington, DC 20231

RE: *U.S. Patent Application No. 10/044,650 entitled "METHODS AND COMPOSITIONS FOR DELIVERY AND RETENTION OF ACTIVE AGENTS TO LYMPH NODES" – by Beth A. Goins and William T. Phillips (Client reference: UTHSC/SA:343)*

Sir:

Please find enclosed:

- (1) A Response to Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b) mailed March 27, 2002;
- (2) Two Declarations;
- (3) Power of Attorney;
- (4) Copy of Notice to File Missing Parts of Nonprovisional Application filed under 37 C.F.R. 1.53(b)-Filing Date Granted;
- (5) Petition for Corrected Filing Date under 37 C.F.R. 1.10(c);
- (6) Check in the amount of \$107.00; and
- (7) A return postcard to acknowledge receipt of these materials. Please date stamp and mail this postcard.

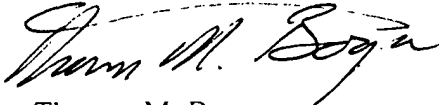
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April 4, 2002

Page 2

If the check is inadvertently omitted, or the amount is insufficient, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Commissioner is authorized to deduct or credit said fees from or to Fulbright & Jaworski Deposit Account No.: 50-1212/10200210/TMB.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Thomas M. Boyce".

Thomas M. Boyce
Reg. No. 43,508

TMB/jle

Encl: as noted